



*Developing & Delivering Effective*

# Risk Management Information in Financial Services

10 am to 4pm | 21 May 2019 | City of London

*Attending will help you to:*

- Understand **what the regulators, Board and other stakeholders expect** from your risk MI
- Review **the latest approaches to risk reporting** and select the appropriate technique for different risk categories
- Design an effective **Key Risk Indicator framework**
- **Benchmark your risk MI** and reporting against developing industry best practice
- Address the challenges of **reporting complex risk information to the Board**, including Risk Culture, Cyber risks and Emerging risks.
- Take away practical ideas to **improve your risk MI and reporting framework**



## CTP.

For more information

Email:

[emmabond@ctp.uk.com](mailto:emmabond@ctp.uk.com)

Visit:

[www.ctp.uk.com/MI1905](http://www.ctp.uk.com/MI1905)

Tel:

0330 303 9779

## Course Outline & Objectives

Identifying, gathering and presenting appropriate management information (MI) in a timely and accessible form remains an ongoing challenge for financial services organisations, particularly in relation to risk.

If you are responsible for risk management, monitoring or reporting in a financial institution, this practical one-day workshop will help you identify and develop meaningful and relevant risk MI and so enhance risk and compliance management in your organisation.

*"Very informative and the trainer is very knowledgeable."*

Legal & General

## Who will benefit

This course will be of value to anyone who has risk management, monitoring or reporting responsibilities, particularly those who are new to the area or seeking a refresher of the fundamental principles and approaches.

*"I would recommend this course for anyone new to risk reporting, covers key aspects and offers good high level view and framework."*

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## Training Approach

This workshop uses a combination of trainer input, interactive syndicate work and case studies. Group size is kept to a maximum of 16 to ensure the quality of learning and facilitate sharing of experience.

## Course Leader

### Eithne McManus

Eithne is a consultant who specialises in assisting financial services companies with enterprise-wide risk management. She is a Chartered Enterprise Risk Actuary, with over 20 years' experience in the financial services industry, in a variety of risk and financial management roles. She holds a BA in Mathematical Sciences from Trinity College, Dublin. Eithne spent most of her career with Irish Life and Permanent, initially in Dublin and later in the UK, where as CFO/CRO of the UK subsidiary company she designed and implemented appropriate risk MI for the Board, before ultimately being appointed as CEO.

As well as her training and consultancy work, Eithne is a non-executive director at two insurance companies and one asset manager.

*"The course was thought provoking with good ideas in a relaxed forum about risk MI and reporting."*

Scottish Widows

## How to book

The course fee is **£545 per person + VAT**, including lunch and refreshments. A **10% discount** is available for a booking of three or more delegates.

### For more information:

Website: **[www.ctp.uk.com/MI1905](http://www.ctp.uk.com/MI1905)**

Email: **[emmabond@ctp.uk.com](mailto:emmabond@ctp.uk.com)**

Telephone: **0330 303 9779**

Post: **Complete and return booking form over page.**

*When booking please quote reference*

**MIWEB**

**THIS PROGRAMME CAN ALSO BE ADAPTED AND DELIVERED IN-HOUSE.**

# Course Agenda

Session	Aim	Content
Introduction and welcome	<i>Understand purpose of course and delegate's key learning objectives</i>	<ul style="list-style-type: none"> <li>■ Sharing of objectives for the day</li> <li>■ Review of agenda</li> </ul>
Purpose of risk MI	<i>Understand why risk MI is so important and some of the challenges in designing an effective risk MI pack</i>	<ul style="list-style-type: none"> <li>■ The importance of Enterprise Risk Management for financial services organisation</li> <li>■ Where risk reporting links into the overall risk management framework</li> <li>■ Requirements of different stakeholders for risk management information</li> </ul>
Risk reporting tools and techniques	<i>To provide an overview of the different tools and techniques available to firms when designing their approach to risk reporting</i>	<ul style="list-style-type: none"> <li>■ Options for risk reporting</li> <li>■ Strengths and weaknesses of different approaches</li> <li>■ Choosing the 'right' reporting tool for different risk categories</li> </ul>
Key Risk Indicators and Key Control Indicators	<i>Key Risk Indicators will be a significant element of any risk reporting pack. This session will focus on the specific techniques and challenges associated with designing and implementing an effective KRI/KCI framework</i>	<ul style="list-style-type: none"> <li>■ Characteristics of effective KRIs and KCIs</li> <li>■ Approaches to the selection of indicators (Top down versus bottom up)</li> <li>■ Leading and composite indicators</li> <li>■ Issues for data collection (cost, accuracy, aggregation)</li> <li>■ Defining the Risk tolerance Levels (RTLs) for the KRIs</li> <li>■ Syndicate exercise on the design of a KRI framework</li> </ul>
Requirements of Boards for appropriate risk MI and some current key themes in this area	<i>The regulator has a keen interest on how firms ensure the Board receives and understands relevant MI. This session covers current themes in this area: (consumer protection risks, cyber risk, emerging risks and horizon scanning) and considers how these can be linked into an overall Board reporting framework</i>	<ul style="list-style-type: none"> <li>■ The challenge of presenting complex risk information to a Board in a meaningful way</li> <li>■ The assessment and reporting of consumer protection risks, particularly in the light of the CBol's March 2017 guidance in this area</li> <li>■ Applying the risk reporting framework to cyber risk</li> <li>■ Dealing with emerging risks and horizon scanning to ensure a 'forward looking approach'</li> </ul>
Conclusion	<i>Using your risk management information to drive management action and business strategy</i>	<ul style="list-style-type: none"> <li>■ Review your current approach to risk MI</li> <li>■ Questions and close</li> </ul>

**Please post, fax or email completed form to addresses listed below.**



Thank you for registering to a CTP event. Once the completed booking form is received, your place/s will be reserved and you will receive confirmation by email. Joining instructions will be sent 2 weeks before the event date. Please note all **delegate fees are payable prior to the course.**

*There is a 10% discount when registering 3 or more attendees. Please complete another form for additional delegates.*

Course Title		RISK MANAGEMENT INFORMATION IN FINANCIAL SERVICES									
Date		21 May 2019					Event code		MIWEB		
Delegate 1	Mr / Mrs / Miss / Ms / Other				Name						
	Tel					Role					
	Email										
Delegate 2	Mr / Mrs / Miss / Ms / Other				Name						
	Tel					Role					
	Email										
Delegate 3	Mr / Mrs / Miss / Ms / Other				Name						
	Tel					Role					
	Email										
Company Name											
Address						Invoice Address (if different)					
Post Code						Post Code					
Booking Contact (if different)											
Tel							NB: Purchase Order No / Vendor No				
Email											
Payment details		I authorise you to debit my account with the amount of £ inc VAT									
I wish to pay by		<input type="checkbox"/> Mastercard		<input type="checkbox"/> Visa		Please invoice <input type="checkbox"/>					
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Expiry date		<div> <div></div> <div></div> <div></div> <div></div> </div>				CVC code (printed on back of card)				<div> <div></div> <div></div> <div></div> </div>	

**Cancellations, Substitutions and Transfers.** The following cancellation charges apply: 30+ days before the course date = no charge; 15-29 days before the course date = 50% of the course fee; 0-14 days before the course date = 100% of the course fee. If the registered delegate cannot attend, we shall be pleased to welcome a substitute at no extra charge – please advise us beforehand. If a delegate fails to attend without prior notification, the course fee is payable in full. Please note CTP is unable to accept any liability for non-attendance caused by severe weather or travel disruption. Requests to transfer to the next available course date may be accepted, subject to availability. The option to transfer is only available if received prior to the original course date, once the original course fee has been paid in full and to a course taking place within 12 months. Transfers made 1-30 days prior to the course date, will be charged at 25% of the course fee. For substitutions, cancellations and transfer enquiries, please contact [info@ctp.uk.com](mailto:info@ctp.uk.com)